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# Cusp forms of weight one,quartic reciprocity and elliptic curves(Dissertation\_全文)

AUTHOR(S):

Ishii, Noburo

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Cusp forms of weight one, quartic reciprocity  
and  
elliptic curves

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石井伸郎

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# 学 位 審 査 報 告

氏 名	石 井 伸 郎
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<p>( 学 位 論 文 題 目 )</p> <p>Cusp forms of weight one, quartic reciprocity and elliptic curves <b>カスプ</b>  (重さ 1 の <del>尖点</del>形式, 4 次剰余の相互法則と楕円曲線)</p>	
論文調査委員	主 査 土 方 弘 明 永 田 雅 宜, 戸 田 宏

理 学 研 究 科

## (論文内容の要旨)

有理数体  $\mathbb{Q}$  に (4 乗因子をもたない) 正整数  $m$  の 4 乗根と  $\sqrt{-1}$  を添加した体を  $K$  とする。  $K$  の  $\mathbb{Q}$  上のガロワ群は位数 8 の 2 面体群となり、たゞ 1 つの 2 次既約表現  $\psi$  をもつ。  $\psi$  のアルティン  $L$  関数

$$L(\rho, \psi) = \sum a(n) n^{-\rho} \quad \text{に対応する}$$

$$\theta(z, k) = \sum a(n) q^n \quad q = \exp(2\pi\sqrt{-1}z)$$

は複素上半平面上の変数  $z$  について、群  $\Gamma_0(N)$  に関する、重さ 1, Neben Typus の尖点形式となる (Hecke-Weil の理論)。但し、  $N$  は  $\psi$  により定まる正整数で、  $\psi$  の導手と呼ばれる。

$K$  は 3 つの 2 次拡大  $\mathbb{Q}(\sqrt{-1})$ ,  $\mathbb{Q}(\sqrt{m})$ ,  $\mathbb{Q}(\sqrt{-m})$  を含み、かつ  $K$  はその各々の 2 次拡大の上にも、アーベル拡大となっている。このことより、  $\theta(z, k)$  は各 2 次拡大に応じた、定値または不定値テータ級数による、3 通りの表示をもつ。

申請者は、この学位申請論文において、上記の  $\theta(z, k)$  の 3 通りの表示を具体的に計算し、それを用いて数論的に興味のある、2 つの結果を得ている。それを略言すると：

定理 1 (の 1 部、及系の特に  $m = p$ , 素数とした特別の場合として)

$\ell$  を素数で  $(-1/\ell) = (p/\ell) = 1$  となるものとする、4 次剰余記号  $(p/\ell)_4$  について、

$$(p/\ell)_4 = (1/2) a(\ell) \text{ が成立つ。}$$

また、  $\ell = x^2 + y^2$   $x \equiv 1 \pmod{2}$  とすれば、

$$(p/\ell)_4 = (x + sy/p) (\ell/p)_4$$

が成立つ。但し、  $s$  は  $s^2 \equiv -1 \pmod{p}$  となる整数である。

定理 2  $E$  を  $y^2 = x^3 + 4mx$  で定義される楕円曲線とし、  $E$  の  $L$  関数を  $L(s, E) = \sum c(n) n^{-s}$  とすれば任意の奇数  $n$  に対して

$$a(n) \equiv c(n) \pmod{4} \text{ が成立つ。}$$

特に,  $K/\mathbb{Q}(\sqrt{-1})$  の導手が偶数なら,  $L(s, E)$  に対応する尖点形式を

$$\theta'(z, E) = \sum c(n)q^n \quad \text{とすると}$$

$$\theta(z, K) = \theta'(z, E) \pmod{4}$$

が成立つ。

## (論文審査の結果の要旨)

重さ 1 の保型形式は、その収束の困難さ故に、実例に乏しく、また解析的手法による一般論も十分に展開されていない。例えば次元公式さえ、未だに確立されていない。一方、近時代数的手法を用いて、アルティン L 関数との対応が、Deligne-Serre により (重さ 1 の場合のみ) 一挙に確立された。申請者は、神戸大学平松助教授の影響下に、アルティン L より出発して、それから得られる重さ 1 の尖点形式のフーリエ展開を詳細に調べ、その数論への応用を試みた。

このような視点は、既にヘッケの古典的工作のうちにも見られる通り、必ずしも全く新しいものではないが、現在のかかなり整備された一般論を考慮に入れた、組織的研究は望ましいものである。

申請者の得た 4 次剰余記号の相互律に対する結果は、4 次剰余への新しいアプローチとして、一般剰余記号の研究者の評価を得ている。また、楕円曲線の L 関数と重さ 1 の尖点形式の間の合同関係は、従来未解決だった 1 つの場合を埋めており、新結果として評価できる。さらに申請者は申請論文以後にも、いくつかの類似またはより一般の場合の結果を得ており、今後も活発な研究活動が続くことが予測される。

以上によって、本論文は理学博士の学位論文として価値あるものと認める。

なお、主論文及び参考論文に報告されている研究業績を中心としてこれに関連した研究分野について試問した結果、合格と認めた。

## CUSP FORMS OF WEIGHT ONE, QUARTIC RECIPROCITY

AND

ELLIPTIC CURVES

By

NOBURO ISHII

## §1. Introduction

Let  $m$  be a non-square positive integer. Let  $K$  be the Galois extension over the rational number field  $\mathbb{Q}$  generated by  $\sqrt{-1}$  and  $\sqrt[4]{m}$ . Then its Galois group over  $\mathbb{Q}$  is the dihedral group  $D_4$  of order 8 and has the unique two-dimensional irreducible complex representation  $\psi$ .

In view of the theory of Hecke-Weil-Langlands, we know that  $\psi$  defines a cusp form of weight one (c.f. Serre[6]). This cusp form is denoted by  $\theta(\tau, K)$ . The present paper consists of two parts. In the first part (§2 and §3), we shall study the number theoretic properties of  $\theta(\tau, K)$  deduced from  $K$ . We show firstly that  $\theta(\tau, K)$  has three expressions by definite or indefinite theta series. We may consider these expressions of  $\theta(\tau, K)$  as the identities between cusp forms of weight one. This point of view gives a number theoretic explanation for the identities between cusp forms ([3]). Further we show that the Fourier coefficients of the cusp form  $\theta(\tau, K)$  determine the decomposition law of the extension  $K/\mathbb{Q}$  and especially the quartic residuacity of  $m$ . These results are obtained from that  $K$  has three quadratic subfields over which  $K$  is abelian. In particular, for the

case  $m$  is prime, we write down the above expressions of  $\Theta(\tau, K)$  explicitly by determining the class group corresponding to  $K$  in each quadratic subfield. We deduce from this a special case of quartic reciprocity law. In this part we also establish the "higher reciprocity law" of the defining equation of  $K$ .

Let  $E$  be the elliptic curve defined by the equation:  $y^2 = x^3 + 4mx$ . Then  $K$  is generated over  $\mathbb{Q}$  by certain torsion points of  $E$ . The purpose of the second part is to study the property of  $\Theta(\tau, K)$  related to  $E$  through  $K$ . Let  $\gamma\ell(\tau, E)$  denote the inverse Mellin transform of the  $L$ -function of  $E$ . Then  $\gamma\ell(\tau, E)$  is a cusp form of weight two (c.f. Shimura [8]). In §4, we shall show, under certain assumption on  $m$ , the following congruence relation:

$$\Theta(\tau, K) \equiv \gamma\ell(\tau, E) \pmod{4}.$$

We remark that this result provides an answer for the problem proposed by Koike (c.f. Koike [4]).

The author would like to express his hearty gratitude to Professor T. Hiramatsu for encouraging him to consider these problems and Dr. Y. Mimura for very helpful discussions.

## §2. Quartic residuacity and cusp forms of weight one

Let  $m$  be a non-square positive integer such that  $m$  has the following decomposition in prime numbers  $p$ :

$$(1) \quad m = \prod_p p^{e(p)}, \quad 0 \leq e(p) \leq 3.$$

Let  $K = \mathbb{Q}(\sqrt{-1}, \sqrt[4]{m})$  be the field generated by  $\sqrt{-1}$  and  $\sqrt[4]{m}$  over the



rational number field  $Q$ . Then  $K$  is a Galois extension over  $Q$  of degree 8 and its Galois group  $G = G(K/Q)$  is isomorphic to the dihedral group  $D_4$  of order 8. Let  $\sigma$  and  $\rho$  be the two generators of  $G$  defined by

$$\begin{aligned}\sigma(4\sqrt{m}) &= \sqrt{-1} 4\sqrt{m}, & \sigma(\sqrt{-1}) &= \sqrt{-1}; \\ \rho(4\sqrt{m}) &= 4\sqrt{m}, & \rho(\sqrt{-1}) &= -\sqrt{-1}.\end{aligned}$$

Then the following Diagram 1 of subfields of  $K$  is obtained:

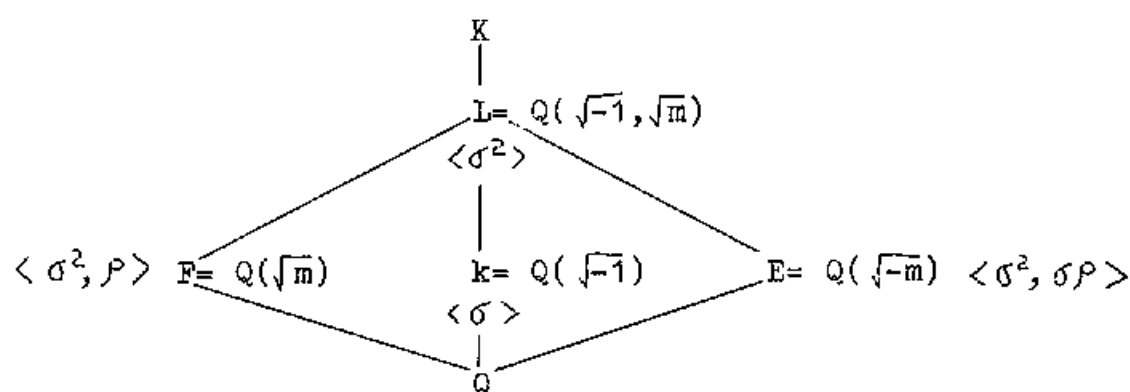


Diagram 1.

To the field  $K$  we shall define a cusp form  $\theta(\tau, K)$  of weight one. Let  $\psi$  be the two-dimensional complex irreducible representation of  $G$  defined by

$$\psi(\sigma) = \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{pmatrix}, \quad \psi(\rho) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Then the representation  $\det \psi$  of  $G$  defined by  $(\det \psi)(g) = \det \psi(g)$  induces a Dirichlet character  $\xi$  such that

$$\xi(n) = (-1/n).$$

Denote the Artin L-function associated with  $\psi$  by

$$L(s, K/Q, \psi) = \sum_{n=1}^{\infty} a(n) n^{-s}.$$

Then  $L(s, K/Q, \psi)$  has the Euler product:

$$(2) \quad L(s, K/Q, \psi) = \prod_{p|N} (1 - a(p)p^{-s})^{-1} \prod_{p \nmid N} (1 - a(p)p^{-s} + \chi(p)p^{-2s})^{-1},$$

where  $N$  denotes the conductor of  $\psi$ . Now we define the function  $\Theta(\tau, K)$  by

$$\Theta(\tau, K) = \sum_{n=1}^{\infty} a(n)q^n, \quad q = \exp(2\pi\sqrt{-1}\tau).$$

It follows from the well known theory of Hecke-Weil-Langlands that  $\Theta(\tau, K)$  is a cusp form (new form) of weight one with character  $\chi$  on the Hecke group  $\Gamma_0(N)^{1)}$ .

We are going to give explicit form of  $\Theta(\tau, K)$ . At first we explain the notation used below. Let  $\Omega$  and  $\Lambda$  be fields such that  $\Omega$  is abelian over  $\Lambda$ . Then  $F(\Omega/\Lambda)$  (resp.  $f(\Omega/\Lambda)$ ) denotes the conductor (resp. the finite part of conductor) of  $\Omega$  over  $\Lambda$ . Let  $M$  be one of the quadratic fields appeared in Diagram 1. Then  $\mathcal{O}_M$  denotes the ring of integers of  $M$  and  $N_{M/Q}$  denotes the norm of  $M$  over  $Q$ . Let  $\mathfrak{A}$  be an integral ideal of  $M$ . If  $M$  is imaginary (resp. real), then  $H_M(\mathfrak{A})$  denotes the group of ray classes (resp. narrow ray classes) modulo  $\mathfrak{A}$  of  $M$ . Furthermore  $P_M(\mathfrak{A})$  denotes the subgroup of  $H_M(\mathfrak{A})$  generated by principal classes (resp. principal classes represented by totally positive elements). If  $\mathfrak{b}$  is an ideal prime to  $\mathfrak{A}$ , then  $[\mathfrak{b}]$  denotes the class of  $H_M(\mathfrak{A})$  represented by  $\mathfrak{b}$ . If  $b$  is an element of  $M$  and  $(b)$  is the principal ideal generated by  $b$ , then  $[b]$  denotes  $[(b)]$ . Finally let  $C_M(K)$  (resp.  $C_M(L)$ ) denote the subgroup of  $H_M(f(K/M))$  corresponding to the field  $K$  (resp.  $L$ ).

Let  $\psi$  and  $M$  be as above. Then the restriction of  $\psi$  to the abelian group  $G(K/M)$  decomposes into two distinct linear representations  $\xi_M$

1) See Serre [6], for example.

and  $\xi'_M$  of  $G(K/M)$ . Via Artin reciprocity law, we can identify  $\xi_M$  and  $\xi'_M$  with characters of  $H_M(f(K/M))$  trivial on  $C_M(K)$ . We denote these characters by the same notation. If  $c_M$  and  $c'_M$  are the finite part of conductors of  $\xi_M$  and  $\xi'_M$  respectively, then  $c_M$  is conjugate to  $c'_M$  over  $Q$ . Let  $\tilde{\xi}_M$  (resp.  $\tilde{\xi}'_M$ ) be the primitive character of  $\xi_M$  (resp.  $\xi'_M$ ) and  $L(s, \tilde{\xi}_M)$  (resp.  $L(s, \tilde{\xi}'_M)$ ) the Hecke L-function associated with  $\tilde{\xi}_M$  (resp.  $\tilde{\xi}'_M$ ). Then it is well known that

$$(3) \quad L(s, K/Q, \psi) = L(s, \tilde{\xi}_M) = L(s, \tilde{\xi}'_M)^{2)}$$

Let  $\tilde{C}_M(K)$  and  $\tilde{C}_M(L)$  be the image of  $C_M(K)$  and  $C_M(L)$  by the canonical homomorphism of  $H_M(f(K/M))$  to  $H_M(c_M)$  respectively. Then, as shown in [3],

$$L(s, \tilde{\xi}_M) = \sum_{\substack{\sigma \in \tilde{C}_M \\ [\sigma] \in \tilde{C}_M(L)}} \chi_M(\sigma) N_{M/Q}(\sigma)^{-s},$$

where

$$\chi_M(\sigma) = \begin{cases} 1 & \text{if } [\sigma] \in \tilde{C}_M(K), \\ -1 & \text{otherwise.} \end{cases}$$

Applying the inverse Mellin transformation on the both side of (3), we obtain

$$(4) \quad \Theta(\tau, K) = \sum_{\substack{\sigma \in \tilde{C}_M \\ [\sigma] \in \tilde{C}_M(L)}} \chi_M(\sigma) q^{N_{M/Q}(\sigma)\tau}.$$

Therefore  $\Theta(\tau, K)$  has three expressions according to quadratic fields  $F, E$  and  $k$ . To determine  $C_M(K)$  and  $C_M(L)$ , it is necessary to know the conductor of  $K/M$  and  $L/M$ . Let  $K, L$  and  $F$  be fields such that  $K \supset L \supset F$  and  $[L:F] = 2$ . Assume  $K$  is abelian over  $F$ . Then  $f(K/F)$  is

<sup>2)</sup> See [3].

determined by  $f(K/L)$  and the different  $D(L/F)$  of  $L$  over  $F$ . Thus we have

Lemma 1. For a prime ideal  $\mathfrak{P}$  of  $L$ , let  $f(\mathfrak{P})$  (resp.  $g(\mathfrak{P})$ ) denote the  $\mathfrak{P}$ -exponent of  $f(K/L)$  (resp.  $D(L/F)$ ). Put

$$e(\mathfrak{P}) = \max(0, g(\mathfrak{P}) - f(\mathfrak{P})).$$

Then

$$f(K/F) = f(K/L) D(L/F) \prod_{\mathfrak{P}} p^{e(\mathfrak{P})}.$$

Proof. This is deduced from the proof of Lemma 1 in [3].

It follows from  $[L:M] = 2$  that  $f(L/M) = D(L/M)^2$ . And  $D(L/M)$  is deduced from the following equalities:

$$D(L/Q)^2 = D(F/Q) D(E/Q) D(K/Q);$$

$$D(L/Q) = D(L/M) D(M/Q).$$

In view of Lemma 1, to obtain  $F(K/M)$  it is sufficient to determine  $F(K/L)$ . Write

$$m = 2^{e(2)} m_1, \quad 0 \leq e(2) \leq 3, \quad (m_1, 2) = 1.$$

Let

$$n_1 = \prod_{\substack{p|m_1 \\ e(p): \text{even}}} p, \quad n_2 = \prod_{\substack{p|m_1 \\ e(p): \text{odd}}} p.$$

Furthermore put  $n = n_1 \sqrt{n_2}$ . Then the conductor  $F(K/L)$  is as follows.

$e(2)$	1, 3	0			2		
$m_1 \bmod 8$		1	5	3, 7	1, 5	3	7
$F(K/L)$	$4n$	$n$	$2n$	$4n$	$4n$	$2n$	$n$

Table 1.

In the next Table 2, we give  $F(K/M)$ ,  $F(L/M)$  and  $c_M$  in only the cases

needed below, thus, the cases where  $m$  are prime numbers  $p \geq 5$ .

$p \bmod 8$	$F(K/E)$	$F(L/E)$	$c_E$	$F(K/k)$	$F(L/k)$	$c_k$	$F(K/F)$	$F(L/F)$	$c_F$
1	$\sqrt{-p}$	1	$\sqrt{-p}$	$p$	$p$	$p$	$4\sqrt{p}\omega_1\omega_2$	$4\omega_1\omega_2$	$\frac{8}{\sqrt{2}}\sqrt{p}$
5	$2\sqrt{-p}$		$2\sqrt{-p}$	$2p$	$p$	$2p$			$4\sqrt{p}$
3	$8\sqrt{-p}$	4	$8\sqrt{-p}$	$4p$	$p$	$4p$	$4\sqrt{p}\omega_1\omega_2$	$\omega_1\omega_2$	$4\sqrt{p}$
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Table 2.

In the above Table 2,  $\mathfrak{P}_2$  denotes a prime ideal of  $F$  dividing 2 and  $\omega_i$  ( $i=1,2$ ) denote infinite places of  $F$ . From this we know that  $\widehat{C}_M(L) = C_M(L)$  and  $\widehat{C}_M(K) = C_M(K)$  except the case  $p \equiv 1 \bmod 8$  and  $M = F$ .

Assume that  $m$  is a prime  $p$  congruent to 5 mod 8. Denote by  $\theta(\tau, M)$  the right side of (4). In (I) through (III) below, we shall determine  $\theta(\tau, M)$  explicitly for  $M = E, k$  and  $F$  respectively. In the following we write simply  $H_M$  and  $P_M$  in place of  $H_M(f(K/M))$  and  $P_M(f(K/M))$  respectively. Further for a prime ideal  $\mathfrak{P}$  of  $M$  denote by  $r(\mathfrak{P})$  a generator of the group  $(\mathcal{O}_M/\mathfrak{P})^\times$ . And, for an integral ideal  $\mathfrak{A}$  dividing  $f(K/M)$ , denote by  $K(\mathfrak{A})$  the kernel of the canonical homomorphism of  $P_M$  to  $P_M(\mathfrak{A})$ .

(I) The case  $M = E (= \mathbb{Q}(\sqrt{-p}))$ . Put  $\mathfrak{P} = (\sqrt{-p})$ . Let  $\omega$  and  $\lambda$  be integers of  $E$  satisfying the following properties:

$$\begin{cases} \omega \equiv \sqrt{-p} \pmod{2}, \\ \omega \equiv 1 \pmod{\mathfrak{P}}; \end{cases} \quad \begin{cases} \lambda \equiv 1 \pmod{2}, \lambda \in \mathbb{Z}^+, \\ \lambda \equiv r(\mathfrak{P}) \pmod{\mathfrak{P}}. \end{cases}$$

Then it is easy to see

$$\begin{aligned} P_E &= \langle [\omega], [\lambda] \rangle, \quad K(\mathfrak{P}) = \langle [\omega] \rangle, \\ K((2)) &= \langle [\lambda] \rangle. \end{aligned}$$

Since  $F(K/E) = 2p$  and  $F(L/E) = 1$ , we see

$$C_E(L) \supset P_E; \quad C_E(K) \not\supset P_E, \quad K(p), K((2))$$

This implies

$$[P_E : P_E \cap C_E(K)] = 2, \quad C_E(K) \not\supset [\omega], [\lambda].$$

From this, noting that  $[\lambda]^2 \in C_E(K)$ , we have

$$P_E \cap C_E(K) = \langle [\omega][\lambda] \rangle.$$

It follows from the genus theory that the class number  $h(E)$  of  $E$  is even and that the number of square classes in  $H_E/P_E$  equals to  $\frac{1}{2}h(E)$ .

Let  $\mathfrak{A}_i$  ( $i = 1, \dots, \frac{1}{2}h(E)$ ) be integral ideals of  $E$  such that  $[\mathfrak{A}_i]^2$  represent all square classes in  $H_E/P_E$ . Since  $G(K/E)$  is a Klein four group,  $[\mathfrak{A}_i]^2 \in C_E(K)$  and the following coset decompositions are obtained:

$$\begin{aligned} C_E(L) &= C_E(K) + C_E(K)[\omega], \\ C_E(K) &= \sum_i [\mathfrak{A}_i]^{-2} (P_E \cap C_E(K)). \end{aligned}$$

If  $\mathfrak{a}$  is an integral ideal of  $E$  prime to  $2p$  and  $[\mathfrak{a}] \in C_E(L)$ , then there exist unique  $\mathfrak{A}_i$  and an element  $a+b\sqrt{-p}$  of  $\mathfrak{A}_i^{-2}$  such that

$$\mathfrak{a} = \mathfrak{A}_i^{-2} (a+b\sqrt{-p}),$$

$$(a, p) = 1,$$

$$a \not\equiv b \pmod{2}.$$

Furthermore

$$[\mathfrak{a}] \in C_E(K) \iff (a/p)(-1)^b = 1.$$

Hence we obtain

$$\theta(\tau, E) = \frac{1}{2} \sum_{i=1}^{\frac{1}{2}h(E)} \left\{ \sum_{\substack{a \not\equiv b \pmod{2} \\ a+b\sqrt{-p} \in \mathfrak{A}_i^{-2}}} (-1)^b (a/p)_q (a^2 + pb^2)/A_i^2 \right\},$$

where  $A_1 = N_{E/Q}(\alpha_1)$ .

(II) The case  $M = k (=Q(\sqrt{-1}))$ . Let  $p = PP'$  be the decomposition in prime ideals of  $p$  in  $k$ . Choose integral elements  $\eta$  and  $\lambda$  of  $k$  satisfying the congruent relations:

$$\begin{cases} \eta \equiv \sqrt{-1} \pmod{2} \\ \eta \equiv 1 \pmod{P} \end{cases}; \begin{cases} \lambda \equiv 1 \pmod{2} \\ \lambda \equiv r(P) \pmod{P} \\ \lambda \equiv 1 \pmod{P'}. \end{cases}$$

Let  $\lambda'$  be the conjugate of  $\lambda$  over  $Q$ . Then it is easy to see  $P_k = \langle [\lambda], [\lambda'], [\eta] \rangle$  and  $K((p)) = \langle [\eta] \rangle$ . It follows from the values of conductors in Table 2 that

$$[P_k : C_k(L)] = [C_k(L) : C_k(K)] = 2;$$

$$C_k(L) \supset K((p)), \quad C_k(K) \not\supset K((p)).$$

Since  $G(K/k)$  is cyclic of order 4, we know

$$C_k(L) \ni [\lambda]^2, \quad C_k(K) \not\ni [\lambda]^2.$$

Further the commutativity (resp. non-commutativity) of  $G(L/Q)$  (resp.  $G(K/Q)$ ) implies that

$$C_k(L) \ni [\lambda]^{-1}[\lambda'] \quad (\text{resp. } C_k(K) \not\ni [\lambda]^{-1}[\lambda']).$$

Therefore

$$C_k(L) = \langle [\lambda]^2, [\lambda]^{-1}[\lambda'], [\eta] \rangle,$$

$$C_k(K) = \langle [\lambda]^2[\eta], [\lambda][\lambda']^{-1}[\eta] \rangle$$

$$= \langle [\lambda]^4, [\lambda][\lambda']^{-1} \rangle.$$

Thus for integral ideals  $\mathfrak{a}$  of  $k$  prime to  $2p$ , we obtain

$$[\mathfrak{a}] \in C_k(L) \iff \mathfrak{a} \text{ has a generator } x + \sqrt{-1}y \text{ such that} \\ (x^2 + y^2/p) = 1, \quad x \equiv 1, \quad y \equiv 0 \pmod{2};$$

Furthermore

$$[\mathfrak{a}] \in C_k(K) \iff (x + sy/p)(x^2 + y^2/p)_4 = 1,$$

where  $s$  is an integer such that  $s^2 \equiv -1 \pmod{p}$ .

Hence

$$\Theta(\mathbb{I}, k) = \frac{1}{2} \sum_{x, y} (x + sy/p)(x^2 + y^2/p)_4 \cdot q^{x^2 + y^2},$$

where the summation is over all pairs of integers  $(x, y)$  such that  $x \equiv 1, y \equiv 0 \pmod{2}$  and  $(x^2 + y^2/p) = 1$ .

(III) The case  $M = F (= \mathbb{Q}(\sqrt{p}))$ . Let  $P = (\sqrt{p})$  and  $\omega = \frac{1}{2}(1 + \sqrt{p})$ . For  $\alpha \in \mathcal{O}_F$ , take an element  $\alpha^*$  of  $\mathcal{O}_F$  such that

$$\begin{cases} \alpha^* \text{ is totally positive,} \\ \alpha^* \equiv \alpha \pmod{4}, \\ \alpha^* \equiv 1 \pmod{P}. \end{cases}$$

Let  $\xi \in \mathcal{O}_F$  such that  $\xi$  induces an element of order 3 in the group  $(\mathcal{O}_F/4)^\times$ . Let  $\lambda$  be a positive integer such that  $\lambda \equiv 1 \pmod{4}$  and  $\lambda \equiv r(P) \pmod{P}$ . Put  $\eta = 1 + 2\omega$ . Then it is easy to see

$$P_F = \langle [\xi^*], [\eta^*], [3^*], [\lambda] \rangle, \\ K((2)) = \langle [\eta^*], [3^*], [\lambda] \rangle, \quad K((4)) = \langle [\lambda] \rangle.$$

Taking account of the values of conductors, we have

$$[P_F : C_F(L) \cap P_F] = [C_F(L) \cap P_F : C_F(K) \cap P_F] = 2; \\ C_F(L) \supset K((4)), \not\supset K((2)); \quad C_F(K) \not\supset K((4)).$$

If  $[\eta^*]'$  is the conjugate class of  $[\eta^*]$ , then



$$[\eta^*]' = [3^*] \cdot [\eta^*] .$$

Since  $C_F(L)$  is closed under the conjugation, thus  $C_F(L)' = C_F(L)$ , and  $C_F(L) \not\supset P_F$ , we know

$$C_F(L) \not\supset [\eta^*], [\eta^*] \cdot [3^*] .$$

Therefore

$$(5) \quad C_F(L) \cap P_F = \langle [\xi^*], [3^*], [\lambda] \rangle .$$

The non-commutativity of  $G(K/Q)$  shows that  $C_F(K) \not\supset [3^*]$ .

This implies

$$(6) \quad C_F(K) \cap P_F = \langle [\xi^*], [3^*][\lambda] \rangle .$$

Let  $h(F)$  be the narrow class number of  $F$ . By the genus theory,  $h(F)$  is odd. Let  $\mathfrak{b}_i$  ( $i=1, \dots, h(F)$ ) be integral ideals such that  $[\mathfrak{b}_i]$  represent all classes of  $H_F/P_F$ . Then we have the coset decompositions:

$$\begin{aligned} C_F(L) &= C_F(K) + C_F(K)[3^*], \\ C_F(K) &= \sum_i [\mathfrak{b}_i]^{-2} (C_F(K) \cap P_F). \end{aligned}$$

Let  $\mu$  be a totally positive element of  $\mathcal{O}_F$  prime to  $4P$ . If  $\mu \equiv 1 \pmod{2}$ , then we can put  $\mu = u + v\sqrt{p}$ ,  $u \equiv v+1 \pmod{2}$ . Further in view of

(5) and (6), we obtain

$$(7) \quad \begin{cases} [\mu] \in C_F(L) \iff [\mu] \in \langle [3^*], [\lambda] \rangle \iff v \equiv 0 \pmod{2}, (p, u) = 1; \\ [\mu] \in C_F(K) \iff (u/p)(-1)^{\frac{1}{2}(u+v-1)} = 1, v \equiv 0 \pmod{2}. \end{cases}$$

If  $\mu \not\equiv 1 \pmod{2}$ , then we can put  $\mu = \frac{1}{2}(s+t\sqrt{p})$ ,  $s$ : odd. Choose  $a = 1$  or  $2$  such that  $\mu \xi^{*2} \equiv 1 \pmod{2}$ . Put  $\mu \xi^{*2} = u + v\sqrt{p}$ ,  $u \equiv v+1 \pmod{2}$ . Since  $N_{F/Q}(\xi^*) \equiv 1 \pmod{4}$ , we have

$$N_{F/Q}(\mu) \equiv u^2 - v^2 \pmod{4}.$$

Therefore

$$v \equiv 0 \pmod{2} \iff N_{F/Q}(\mu) \equiv 1 \pmod{4}.$$

Further if  $v \equiv 0 \pmod{2}$ , then

$$\frac{1}{2}(u+v-1) \equiv \frac{1}{2}(s+1) \pmod{2}.$$

Noting  $s \equiv 2u \pmod{p}$ , it follows from (7) that

$$[\mu] \in C_F(L) \iff v: \text{even} \iff N_{F/Q}(\mu) \equiv 1 \pmod{4};$$

Furthermore

$$[\mu] \in C_F(K) \iff (u/p)(-1)^{\frac{1}{2}(u+v-1)} = 1 \iff (s/p)(-1)^{\frac{1}{2}(s-1)} = 1.$$

To obtain  $\Theta(\tau, F)$ , we must consider the effects of units of  $F$ . Let

$$E^+ = \{\xi \in \mathcal{O}_F \mid \xi: \text{totally positive units}\},$$

$$E_0 = \{\xi \in E^+ \mid \xi \equiv 1 \pmod{f(K/F)}\}.$$

Put  $e = [E^+ : E_0]$  and  $B_i = N_{F/Q}(\mathfrak{b}_i)$ . Then

$$\begin{aligned} \Theta(\tau, F) = e^{-1} \sum_{i=1}^{h(F)} \left\{ \sum_{\mu_1} (s/p)(-1)^{\frac{1}{2}(s-1)+t_q(s^2-4pt^2)/B_i^2} \right. \\ \left. + \sum_{\mu_2} (s/p)(-1)^{\frac{1}{2}(s-1)}_q(s^2-pt^2)/4B_i^2 \right\}, \end{aligned}$$

where the summation with respect to  $\mu_1$  (resp.  $\mu_2$ ) is over all representatives mod  $E_0$  of the set of totally positive elements of  $\mathfrak{b}_i^2$  such that  $\mu_1 = s+2t\sqrt{p}$ ;  $s, t \in \mathbb{Z}$  and  $s \equiv 1 \pmod{2}$  (resp.  $\mu_2 = \frac{1}{2}(s+t\sqrt{p})$ ;  $s, t \in \mathbb{Z}$ ,  $s \equiv 1 \pmod{2}$  and  $N_{F/Q}(\mu_2) \equiv 1 \pmod{4}$ ).

Let  $\ell$  be a prime number. Then we have

$$\begin{aligned} (-1/\ell) = (p/\ell) = 1 &\iff \ell \text{ splits completely in } L \\ &\iff a(\ell) = \pm 2; \end{aligned}$$

Furthermore

$$\ell \text{ splits completely in } K \iff a(\ell) = 2$$

(See Corollary 2 of §3 in the present paper).

Consequently we have

Theorem 1. Let  $p \equiv 5 \pmod{8}$  and keep the notation as above. Then

(i)  $\Theta(\tau, K)$  is a new form of weight one, with character

$\xi(n) = (-1/n)$  on the group  $\int_0^{\infty} (16p^2)$ ;

(ii) For a prime number  $\ell$  such that  $(-1/\ell) = (p/\ell) = 1$ ,

$$(p/\ell)_4 = \frac{1}{2}a(\ell);$$

(iii)  $\Theta(\tau, K)$  has the following three expressions:

$$\begin{aligned} \Theta(\tau, K) &= \frac{1}{2} \sum_{i=1}^{\frac{1}{2}h(E)} \sum_{\substack{a \neq b \pmod{2} \\ a+b\sqrt{-p} \in \mathcal{O}_1^2}} (-1)^b (a/p)_q (a^2 + pb^2)/A_i^2 \\ &= \frac{1}{2} \sum_{x, y} (x+sy/p) (x^2+y^2/p)_4 q^{x^2+y^2} \\ &= e^{-1} \sum_{i=1}^{h(F)} \left\{ \sum_{\mu_1} (s/p) (-1)^{\frac{1}{2}(s-1)+t} q^{(s^2-4pt^2)/B_i^2} \right. \\ &\quad \left. + \sum_{\mu_2} (s/p) (-1)^{\frac{1}{2}(s-1)} q^{(s^2-pt^2)/4B_i^2} \right\}. \end{aligned}$$

Especially from the second expression of  $\Theta(\tau, K)$  in (iii), we obtain a reciprocity law of quartic residue:

Corollary 1. Let  $\ell$  be a prime number such that  $(-1/\ell) = (p/\ell) = 1$ .

Put  $\ell = x^2 + y^2$  with  $x \equiv 1 \pmod{2}$ . Then

$$(p/\ell)_4 = (x+sy/p)(\ell/p)_4.$$

To avoid diffuseness, for other primes, we shall state only the results corresponding to (iii) in the next Remarks.

Remark 1. Let  $p = 2$  or  $3$ . Then  $\theta(\tau, K)$  is expressed as follows.

( $p = 2$ )

$$\begin{aligned}
 \theta(\tau, K) &= \frac{1}{2} \vartheta_4(16\tau) \vartheta_3(16\tau) = \sum_{a,b} (-1)^a q^{(4a+1)^2 + 8b^2} & (\text{via E}) \\
 &= \frac{1}{2} \vartheta_2(8\tau) \vartheta_0(32\tau) = \sum_{x,y} (-1)^y q^{(4x+1)^2 + 16y^2} & (\text{via k}) \\
 &= \vartheta_+(16\tau, 1, \mathcal{O}_{\mathbb{F}}, 4\sqrt{2}) + \vartheta_+(16\tau, 3, \mathcal{O}_{\mathbb{F}}, 4\sqrt{2}) \\
 &= \sum_{s > 6 \mid t} (-2/s)_q s^2 - 32t^2 & (\text{via F}),
 \end{aligned}$$

where  $\vartheta_0, \vartheta_2, \vartheta_3$  and  $\vartheta_4$  are theta series defined by

$$\begin{aligned}
 \vartheta_0(\tau) &= \sum_n (-1)^n \exp(\pi \sqrt{-1} n^2 \tau), \quad \vartheta_2(\tau) = \sum_{n \equiv 1 \pmod{2}} \exp(\pi \sqrt{-1} n^2 \tau / 4), \\
 \vartheta_3(\tau) &= \sum_n \exp(\pi \sqrt{-1} n^2 \tau), \quad \vartheta_4(\tau) = \sum_n (2/n) \exp(\pi \sqrt{-1} n^2 \tau / 8)
 \end{aligned}$$

and  $\vartheta_+$  denotes the Hecke indefinite theta series (see [3]).

( $p = 3$ )

$$\begin{aligned}
 \theta(\tau, K) &= \eta(24\tau) \vartheta_3(24\tau) = \sum_{a,b} (-1)^a q^{(6a+1)^2 + 12b^2} & (\text{via E}) \\
 &= \sum_{x,y} (-1)^y q^{(6x+1)^2 + 12y^2} + \sum_{x,y} (-1)^{x+1} q^{4(3x+1)^2 + 9(2y+1)^2} & (\text{via k}) \\
 &= \vartheta_+(24\tau, 1, \mathcal{O}_{\mathbb{F}}, 4\sqrt{3}) - \vartheta_+(24\tau, 7+2\sqrt{3}, \mathcal{O}_{\mathbb{F}}, 4\sqrt{3}) \\
 &= \sum_{s > 4 \mid t} (s/6) (-1)^t q^{s^2 - 12t^2} & (\text{via F}),
 \end{aligned}$$

where  $\eta(\tau)$  is the Dedekind eta function.

Remark 2. Let  $p \equiv 3 \pmod{4}$  or  $p \equiv 1 \pmod{8}$ . Keep the notation as above. Let  $\{\mathfrak{U}_i\}$  (resp.  $\{\mathfrak{V}_i\}$ ) be the set of the integral ideals of  $E$  (resp.  $F$ ) such that  $\{[\mathfrak{U}_i]^2\}$  (resp.  $\{[\mathfrak{V}_i]^2\}$ ) represent all square classes in  $H_E/P_E$  (resp.  $H_F/P_F$ ). Put  $A_i = N_{E/Q}(\mathfrak{U}_i)$  and  $B_i = N_{F/Q}(\mathfrak{V}_i)$ . Then we have the following expressions of  $\Theta(\tau, K)$ .

( $p \equiv 3 \pmod{4}$ )

$$\begin{aligned} \Theta(\tau, K) &= \sum_{i=1}^{h(E)} \left\{ \sum_{\omega_1} (-1)^{b(a/p)_q(a^2+4pb^2)/A_i^2} \right. \\ &\quad \left. + \begin{cases} 0 & \text{if } p \equiv 7 \pmod{8}, \\ \sum_{i=1}^{h(E)} \sum_{\omega_2} (-1)^{\frac{1}{4}(N_{E/Q}(\omega_2)+3)} (a/p)_q(a^2+pb^2)/4A_i^2 & \text{otherwise;} \end{cases} \right\} \\ &= \sum_{\lambda} \left\{ x + \sqrt{-1}y/p \right\}_4 (-1)^{\frac{1}{2}y} x^2 + y^2 \\ &= e^{-1} \sum_{i=1}^{\frac{1}{2}h(F)} \left\{ \sum_{\mu_1} (s/p) (-1)^{\frac{1}{2}t} q(s^2-pt^2)/B_i^2 \right. \\ &\quad \left. + \sum_{\mu_2} (s/p) (-1)^{\frac{1}{2}s} q(s^2-pt^2)/B_i^2 \right\}, \end{aligned}$$

where  $\{x + \sqrt{-1}y/p\}_4$  denotes a cyclic character of  $(\mathcal{O}_K/p)^\times$  of order 4 and the summations are as follows:

$$\sum_{\omega_1} : \omega_1 = a + 2b\sqrt{-p} \in \mathfrak{U}_i^2, \quad a + 2b \equiv 1 \pmod{4};$$

$$\sum_{\omega_2} : \omega_2 = \frac{1}{2}(a + b\sqrt{-p}) \in \mathfrak{U}_i^2, \quad a \equiv 3 \pmod{4};$$

$$\sum_{\lambda} : \lambda = x + \sqrt{-1}y, \quad x \equiv 1 \pmod{4}, \quad y \equiv 0 \pmod{2}, \quad (x^2 + y^2/p) = 1;$$

$$\sum_{\mu_1} \text{ (resp. } \sum_{\mu_2} \text{)} : \mu_1 \text{ (resp. } \mu_2 \text{) runs over all representatives}$$

mod  $E_0$  of the set of totally positive elements  $s+t\sqrt{p} \in \mathcal{O}_1^2$  such that  $s \equiv 1, t \equiv 0$  ( resp.  $s \equiv 0, t \equiv 1$ ) mod 2.

( $p \equiv 1$  mod 8)

Let  $\mathfrak{P}_2$  be a prime ideal of  $F$  over 2. Put

$$E'_0 = \{ u \in E^+ \mid u \equiv 1 \text{ mod } \mathfrak{P}_2^2(\sqrt{p}) \}.$$

Let  $e' = [E^+ : E'_0]$ . Take  $s \in \mathbb{Z}$  such that  $s^2 \equiv -1$  mod  $p$ . Then

$$\begin{aligned} \Theta(\tau, K) &= \frac{1}{2} \sum_{i=1}^{\frac{1}{2}h(F)} \sum_{\omega} (-1)^b (a/p)_q (a^2 + pb^2)/A_i^2 \\ &= \frac{1}{2} \sum_{\lambda} (x+sy/p)(x^2+y^2/p)_4 q^{x^2+y^2} \\ &= e'^{-1} \sum_{i=1}^{h(F)} \left\{ \sum_{\mu_1} (-1)^{\frac{1}{2}(s-t-1)} (s/p)_q (s^2-pt^2)/B_i^2 \right. \\ &\quad \left. + \sum_{\mu_2} (-1)^{\frac{1}{4}(s-t-2)} (-1)^{(p-1)/8} (s/p)_q (s^2-pt^2)/4B_i^2 \right\}, \end{aligned}$$

where the summations are as follows;

$$\sum_{\omega}: \omega = a+b\sqrt{-p} \in \mathcal{O}_1^2, a \not\equiv b \text{ mod } 2;$$

$$\sum_{\lambda}: \lambda = x+\sqrt{-1}y, (x^2+y^2/p) = 1;$$

$\sum_{\mu_1}$  ( resp.  $\sum_{\mu_2}$  ):  $\mu_1$  (resp.  $\mu_2$ ) runs over all representatives mod  $E'_0$  of the set of totally positive integers such that  $\mu_1 = s+t\sqrt{p}$  (resp.  $\mu_2 = \frac{1}{2}(s+t\sqrt{p}) \in \mathcal{O}_1^2$  and  $s \equiv t+1$  mod 2 (resp.  $s \equiv 1$  mod 2 and  $s-t-2 \equiv 0$  mod 4).

### §3. Higher reciprocity law

Let the notation be as in §2. Consider the polynomial  $f(x) = x^4 - m$ . Then the cusp form  $\Theta(\tau, K)$  has close relation to the decomposition law

of  $K/Q$  and the "higher reciprocity law"<sup>3)</sup> of  $f(x)$ . We shall explain these properties of  $\Theta(\tau, K)$ . To this purpose, let us consider the expression of  $\Theta(\tau, K)$  in (4), for  $M = k$ . Since  $\xi_k$  is primitive we obtain

$$(8) \quad \Theta(\tau, K) = \sum_{\substack{\sigma \in G_k \\ [\sigma] \in C_k(L)}} \chi_k(\sigma) q^{N_{K/Q}(\sigma)}$$

Let  $m = 2^{e(2)} m_1$ ,  $(m_1, 2) = 1$ . Put

$$(9) \quad m_1^* = \prod_{p|m_1} p.$$

Then the conductor  $F(K/k)$  of  $K$  over  $k$  is given in the next Table 3.

$e(2)$	1, 3	0			2		
$m_1 \bmod 8$		1	5	3, 7	1, 5	3	7
$F(K/k)$	$8m_1^*$	$m_1^*$	$2m_1^*$	$4m_1^*$	$4m_1^*$	$2m_1^*$	$m_1^*$

Table 3.

Let  $f$  be the positive integer such that  $F(K/k) = (f)$ . Then the level  $N$  of  $\Theta(\tau, K)$  is given by

$$(10) \quad N = 4f^2.$$

Now the decomposition law of  $K/Q$  is described by  $\Theta(\tau, K)$  as follows.

Proposition 1. Let  $p$  be a prime number not dividing  $f$ . Denote by  $f_p$  the relative degree of the prime ideals of  $K$  over  $p$ . Then the following assertions hold:

(i) If  $p \equiv 1 \pmod{4}$ , then

$$f_p = 1 \iff a(p) = 2;$$

<sup>3)</sup> For the higher reciprocity law, see Hiramatsu [2] and Moreno [5].

$$f_p = 2 \iff a(p) = -2;$$

$$f_p = 4 \iff a(p) = 0.$$

(ii) If  $p \equiv 3 \pmod{4}$ , then  $a(p) = 0$ ,  $f_p = 2$  or  $4$ . Further

$$f_p = 2 \iff a(p^2) = 1;$$

$$f_p = 4 \iff a(p^2) = -1.$$

(iii) If  $p = 2$ , then  $f_p = 1$  or  $2$ . Further

$$f_p = 1 \iff a(p) = 1;$$

$$f_p = 2 \iff a(p) = -1.$$

Proof. Let  $P$  be a prime ideal of  $k$  over  $p$  and  $f_P$  the relative degree of  $P$ . Denote by  $P'$  the conjugate ideal of  $P$ . Since  $G(K/k)$  is cyclic, it is easy to see

$$\begin{aligned} [P] \in C_k(L) \text{ (resp. } C_k(K)) &\iff P \text{ splits completely in } L \text{ (resp. } K) \\ &\iff f_P/f_{P'} = 1 \text{ or } 2 \text{ (resp. } f_P/f_{P'} = 1); \end{aligned}$$

$$[P] \notin C_k(L) \implies [P] \neq [P'].$$

From this, for a prime  $p$  such that  $p = 2$  or  $p \equiv 3 \pmod{4}$  we have

$$[P] \in C_k(L) \text{ and } f_P/f_{P'} = 1 \text{ or } 2.$$

Therefore our assertions are deduced immediately from (8), q.e.d.

Corollary 2. Let  $p$  be a prime number such that  $(-1/p) = (m/p) = 1$ . Then

$$(m/p)_4 = \frac{1}{2}a(p).$$

Next we shall treat the higher reciprocity law of  $f(x)$ . Consider all irreducible representations of  $G$  and they are listed below.



	$\sigma$	$\rho$
$\psi_0$	1	1
$\psi_1$	1	-1
$\psi_2$	-1	1
$\psi_3$	-1	-1
$\psi$	$\begin{pmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

Table 4.

Let  $\chi$  be the character of  $\psi$ . For a prime number  $p$  unramified at  $K$  ( $\Leftrightarrow p \nmid N$ ), denote by  $\sigma_p$  the Frobenius substitution of  $p$ . Then

$$(11) \quad \psi_1(\sigma_p) = (-1/p), \quad \psi_2(\sigma_p) = (m_0/p), \quad \psi_3(\sigma_p) = (-m_0/p), \\ \chi(\sigma_p) = a(p),$$

where  $m_0$  is the square free part of  $m$ :

$$m_0 = \prod_{e(p): \text{odd}} p.$$

For a prime number  $p$ , put

$$S(p) = \# \left\{ a \in \mathbb{F}_p \mid f(a) \equiv 0 \pmod{p} \right\}.$$

Then we have

**Proposition 2.** Let  $p$  be a prime number not dividing  $N$ . Then

$$S(p) = 1 + a(p) + (m_0/p) \\ = a(p) + a(p^2) - (-m_0/p).$$

**Proof.** Put  $H = \langle \rho \rangle$ . Then  $H$  is the subgroup of  $G$  corresponding to the subfield  $Q(\sqrt[4]{m})$ . Let  $1_H$  be the identity character of  $H$  and  $\chi$  its

induced character of  $G$ . Let  $d(f)$  be the discriminant of  $f(x)$ . Then  $N$  and  $d(f)$  have the same prime divisors. Therefore we obtain for  $p \nmid N$ ,

$$\nu(\sigma_p) = S(p).$$

Computing inner product of  $\nu$  with all irreducible characters of  $G$ , we have

$$(\nu | \psi_i) = \begin{cases} 0 & \text{if } i = 1, 3, \\ 1 & \text{otherwise;} \end{cases}$$

$$(\nu | \chi) = 1.$$

Therefore

$$\nu = \psi_0 + \psi_2 + \chi.$$

It follows from (11) that

$$S(p) = 1 + (m_0/p) + a(p).$$

In view of (2), we obtain (§3.3 of Shimura [7])

$$a(p)^2 = a(p^2) + (-1/p).$$

On the other hand, by (11) we see

$$a(p)^2 = \chi(\sigma_p^2) + 2(-1/p).$$

Therefore

$$a(p^2) = \chi(\sigma_p^2) + (-1/p).$$

Since the correspondence:  $g \longrightarrow \chi(g^2)$  is a class function of  $G$ , by computing inner products with irreducible characters of  $G$ , we have

$$\chi(\sigma_p^2) = 1 - (-1/p) + (m_0/p) + (-m_0/p).$$

From this we have

$$a(p^2) = 1 + (m_0/p) + (-m_0/p); \quad S(p) = a(p) + a(p^2) - (-m_0/p), \text{ q.e.d.}$$

Let  $\text{Spl}\{f(x)\}$  be the set of all primes  $p$  such that  $f(x) \bmod p$  factors into a product of distinct linear polynomials over  $F_p$ . Then we have

Proposition 3. (Higher Reciprocity Law of  $f(x)$ ). Let  $p$  be a prime number not dividing  $N$ . Then

$$p \in \text{Spl}\{f(x)\} \iff a(p) = 2.$$

Proof. This is obvious from Propositions 1 and 2.

#### §4. Elliptic curves and cusp forms of weight one

Let the notation be as in preceding sections. Consider the elliptic curve  $E$  over  $\mathbb{Q}$  defined by

$$E: y^2 = x^3 + 4mx.$$

Then  $E$  has a complex multiplication  $J$  such that

$$(12) \quad J(P) = (-x, -\sqrt{-1}y),$$

for all points  $P = (x, y)$  on  $E$ .

Since  $J^2 = -1_E$ , the subalgebra  $\mathcal{O}$  generated by  $J$  over  $\mathbb{Z}$  is identified with the maximal order  $\mathcal{O}_k$  of  $k = \mathbb{Q}(\sqrt{-1})$ . Denote the L-function of  $E$  by

$$L(s, E) = \sum_{n=1}^{\infty} c(n)n^{-s}.$$

Let  $c(E)$  be the conductor of  $E$ . Further put

$$\chi(\tau, E) = \sum_{n=1}^{\infty} c(n)q^n.$$

Since  $E$  has complex multiplications, we know  $\chi(\tau, E)$  is a cusp form of weight 2, with trivial character on the group  $\Gamma_0(c(E))$  (Shimura[8]). In this section we shall show that the cusp form  $\theta(\tau, K)$  of weight one is associated with the cusp form  $\chi(\tau, E)$  of weight 2 under a congruent relation. At first we determine the conductor  $c(E)$ . Since  $E$  has complex multiplications it is easy to see that  $c(E)$  takes the form

$$c(E) = 2^x 3^y m_2^2,$$

where  $x, y \in \mathbb{Z}$  and  $m_2$  is the product of all prime divisors of  $m$  which are prime to 6. Let  $e(2)$  and  $e(3)$  be the 2-exponent and 3-exponent of  $m$  respectively. Then by Tate's algorithm in Tate [10], we know  $y = 0$  or 2 according to  $e(3) = 0$  or not. Further  $x$  are as follows.

$e(2)$	0		1	2		3
$m_1 \bmod 4$	1	3		1	3	
$x$	5	6	8	6	5	8

Table 5.

Let  $m_1^*$  be the integer defined by (9). Then we have from this

$$c(E) = 2^x m_1^{*2}.$$

Therefore it follows from Tables 3 and 5 that the level  $c(E)$  of  $\mathcal{Y}(\tau, E)$  equals to the level  $N$  of  $\mathcal{O}(\tau, K)$  up to a power of 2 and that  $c(E) = N$  if  $e(2)$  is odd. For a prime number  $p$  not dividing  $c(E)$ , denote by  $E_p$  the reduction of  $E \bmod p$ . Then  $E_p$  is again an elliptic curve with complex multiplications  $\mathcal{O}_K$ . Let  $\mathfrak{O} = (1 + \sqrt{-1})$  be the prime ideal of  $k$  dividing 2. Denote by  $E(n)$  (resp.  $E_p(n)$ ) the group of  $\mathfrak{O}^n$ -division points of  $E$  (resp.  $E_p$ ). Then

$$\begin{aligned} E(2) &= \{ (x, 0) \mid x^3 + 4mx = 0 \} \cup \{ O_E \}, \\ E(3) &= \{ (x, y) \mid (x^3 + 4mx)(x^2 - 4m) = 0, y^2 = x^3 + 4mx \} \cup \{ O_E \}, \end{aligned}$$

where  $O_E$  denotes the identity element of the group structure on  $E$ .

From this we obtain

$$(13) \quad P = (x, y) \in E(3) - E(2) \iff x^2 - 4m = 0.$$

Further  $K$  is generated over  $\mathbb{Q}$  by all  $\mathbb{Q}^3$ -division points of  $E$ . Denote by  $N_p$  and  $T(p)$  the number of  $\mathbb{F}_p$ -rational points of  $E_p$  and  $E_p(3)$  respectively. Then we have following Proposition.

Proposition 4. Keep the notation as above. Let

$$\mu(p) = \{1 - (-1/p)\} \{1 + (2/p)\}. \quad \text{Then}$$

$$(i) \quad T(p) = S(p) + (-m_0/p) + 3;$$

$$(ii) \quad N_p \equiv T(p) + \mu(p) \pmod{8}.$$

Proof. Let  $M$  (resp.  $M(n)$ ) be the subset of  $\mathbb{F}_p$ -rational points of  $E_p$  (resp.  $E_p(n) - E_p(n-1)$ ). Let

$$\Lambda = \{a \in \mathbb{F}_p \mid f(a) \equiv 0 \pmod{p}\}.$$

For  $p \nmid 2m$ , by (13) we have a bijection  $\varphi$  of  $\Lambda$  to  $M(3)$  defined by

$$\varphi(a) = (2a^2, 4a^3), \quad a \in \Lambda.$$

Therefore

$$S(p) = |\Lambda| = |M(3)|.$$

Further it is easy to see

$$|M(2)| = 1 + (-m_0/p), \quad |M(1)| = 2.$$

Hence

$$T(p) = |M(3)| + |M(2)| + |M(1)| = S(p) + (-m_0/p) + 3.$$

This shows (i). Next we shall prove (ii). The following is easily obtained:

$$(14) \quad S(p) = \begin{cases} 4 & \text{if } (-1/p) = (m/p)_4 = 1, \\ 2 & \text{if } (-1/p) = -1 \text{ and } (m/p)_4 = 1, \\ 0 & \text{otherwise.} \end{cases}$$

Let  $p \equiv 3 \pmod{4}$ . Then it follows from (14) and (i) just proved that

$$T(p) \equiv 4 \pmod{8}.$$

On the other hand it is easily obtained

$$N_p = p+1.$$

Therefore

$$N_p \equiv T(p) + \mu(p) \pmod{8}.$$

Let  $p \equiv 1 \pmod{4}$ . Then by (12), the endomorphism  $J_p$  of  $E_p$  induced by  $J$  is defined over  $F_p$ . Let  $U$  be the subgroup of  $\text{Aut}_{F_p}(E_p)$  generated

by  $J_p$ . Then  $U$  is cyclic group of order 4 and  $M$  becomes a  $U$ -module.

Let  $P \in M$  and denote by  $O(P)$  the  $U$ -orbit of  $P$ . Then we have

$$(15) \quad |O(P)| = \begin{cases} 1 & \text{if } P \in M(1), \\ 2 & \text{if } P \in M(2), \\ 4 & \text{otherwise.} \end{cases}$$

Let

$$M^* = \bigcup_{n=1}^{\infty} M(n), \quad M^{**} = \{ x \in M \mid \text{order of } x \text{ is odd} \}.$$

Then  $M^*$  and  $M^{**}$  become  $U$ -modules and  $M = M^* \oplus M^{**}$ . From (15) we know

$$(16) \quad |M^{**}| \equiv 1 \pmod{4}.$$

Let  $t$  be the largest integer such that  $M^* \supseteq E_p(t)$ . If there exists an element  $P$  of  $M(3)$ , then it follows from (15) that

$$|M(3)| = 4, \quad |M(2)| = 2.$$

This implies that  $t \geq 3$ . Therefore

$$|M^*| = 2 \iff t = 1 \iff T(p) = 2;$$

$$|M^*| = 4 \iff t = 2 \iff T(p) = 4;$$

$$|M^*| \equiv 0 \pmod{8} \iff t \geq 3 \iff T(p) = 8.$$

Hence by (16),

$$N_p \equiv |M^*| \cdot |M^{**}| \equiv T(p) \pmod{8}, \text{q.e.d.}$$

Consider the L-function  $L(s, E)$  of  $E$ . Since  $E$  has complex multiplications, the Euler product and  $p$ -th coefficient  $c(p)$  of  $L(s, E)$  are as follows (Tate [9]) :

$$(17) \quad \begin{aligned} L(s, E) &= \prod_{p \nmid c(E)} (1 - c(p)p^{-s} + p^{1-2s})^{-1}, \\ c(p) &= \begin{cases} 1 + p - N_p & \text{if } p \nmid c(E), \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

Furthermore we have

Proposition 5. Let  $p$  be a prime number such that  $p \nmid c(E)$ . Let  $\gamma(p) = \{1 + (-1/p)\} \{1 - (2/p)\}$ . Then

$$c(p) \equiv a(p) + \gamma(p) \pmod{8}.$$

Proof. Let  $\rho_G$  denote the character of the regular representation of  $G$ . Then

$$\rho_G = 1 + \psi_1 + \psi_2 + \psi_3 + 2\chi.$$

Since  $G$  is of order 8, for all  $g \in G$  we have

$$\rho_G(g) \equiv 0 \pmod{8}.$$

In this congruent equation, put  $g = \sigma_p$  for  $p \nmid c(E)$ , then by (11),

$$2a(p) + 1 + (m_0/p) + (-m_0/p) + (-1/p) \equiv 0 \pmod{8}.$$

On the other hand, Propositions 2 and 4 imply

$$c(p) \equiv -a(p) - \mu(p) + p - 2 - \{1 + (m_0/p) + (-m_0/p)\} \pmod{8}.$$

Thus

$$c(p) \equiv a(p) - \mu(p) + p - 2 + (-1/p) \pmod{8}.$$

It is easy to see

$$\gamma(p) \equiv p^{-2} - \mu(p) + (-1/p) \pmod{8}.$$

Therefore

$$c(p) \equiv a(p) + \gamma(p) \pmod{8, \text{q.e.d.}}$$

Note that  $a(p) = 0$  if  $p \nmid f$ ,  $c(p) = 0$  if  $p \mid c(E)$  and  $\gamma(p) \equiv 0 \pmod{4}$ . Further it follows from Tables 3 and 5 that  $c(E)/f$  is a power of 2. Therefore we have:

Corollary 3. Let  $p$  be an odd prime. Then

$$a(p) \equiv c(p) \pmod{4}.$$

Furthermore, if  $f$  is even, then

$$a(2) \equiv c(2) \pmod{4}.$$

It follows from (2) and (17) that Fourier coefficients  $a(n)$  and  $c(n)$  are both multiplicative. Therefore we know that  $a(n) \equiv c(n) \pmod{4}$ , if  $n$  is odd and that  $c(n) \equiv 0 \pmod{4}$  if  $n$  is even. Let

$$\Theta'(\tau, K) = \sum_{n: \text{odd}} a(n) q^n.$$

Then  $\Theta'(\tau, K)$  is a cusp form of weight one, with character  $\xi'$  on the group  $\Gamma_0(4N)$ , where  $\xi'$  is a character mod  $4N$  induced by  $\xi$  (Lemma 2 in Shimura [8]). Consequently we obtain the next Theorem.

Theorem 2. Keep the notation as above. Then

$$\Theta'(\tau, K) \equiv \gamma(\tau, E) \pmod{4}.$$

If  $f$  is even, we have further

$$\Theta(\tau, K) \equiv \gamma(\tau, E) \pmod{4}.$$

Remark 3. The number of rational points  $N_p$  is computed as follows.



For  $p \nmid c(E)$ ,

$$N_p = \begin{cases} p+1 & \text{if } p \equiv 3 \pmod{4}, \\ p+1 - \pi(-4m/\pi)_4 - \bar{\pi}(-4m/\bar{\pi})_4 & \text{otherwise,} \end{cases}$$

where  $\pi$  and  $\bar{\pi}$  are prime elements of  $k = \mathbb{Q}(\sqrt{-1})$  such that  $p = \pi \bar{\pi}$  and  $\pi \equiv 1 \pmod{(2+2\sqrt{-1})}$  (Davenport and Hasse [1]). From this it is comparatively easy to deduce Proposition 4 and Theorem 2. However we could attain to Theorem 2, without using this result, along the following process:

$$c(p) \longrightarrow N_p \longrightarrow T(p) \longrightarrow S(p) \longrightarrow a(p).$$

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Department of Mathematics  
University of Osaka Prefecture  
Sakai,Osaka 591  
Japan